



Department of Energy
Office of Science
Washington, DC 20585

July 22, 2020

Dr. Steven Ashby
Director
Pacific Northwest National Laboratory
P.O. Box 999
Richland, Washington 99352

Dear Dr. Ashby:

It is a pleasure to inform you that the Pacific Northwest National Laboratory (PNNL) operated by Battelle has successfully completed the Department of Energy (DOE) Earned Value Management System (EVMS) Acceptance Review process. As a result of the independent surveillance review conducted and the corrective actions taken by PNNL, it has been determined that the EVMS is compliant and meets the guidelines of the Electronic Industries Alliance (EIA)-748.

To verify EVMS compliance, an independent surveillance/EVMS acceptance review was conducted at PNNL on September 24-25, 2019, to determine if the PNNL EVMS met the EIA requirements. During the review, the surveillance committee identified two Corrective Action Requests (CARs); two Continuous Improvement Opportunities* (CIO*)—requiring formal corrective action; and four Continuous Improvement Opportunities.

Corrective Action Request (CARs)

- CAR-01 Estimate at Complete (EAC) process could not be articulated by project leadership.
- CAR-02 Quantifiable Backup Data (QBD) was not provided.

*Continuous Improvement Opportunities (CIOs)/CIO**

- CIO-01* A freeze period was not included in the PNNL EVM system description, and limited understanding by the Control Account Managers (CAMs).
- CIO-02* Website and CAM Notebook navigation issues.
- CIO-03 Primavera (P6) and Cobra Cleanup.
- CIO-04 Cost Performance Reports (CPRs) and Variance Report corrections.
- CIO-05 Baseline Change Documentation and Optimization.
- CIO-06 System Description Document Controls and updating for the ANSI change.

*CIOs are not strict requirements, but would improve the EVMS system and require a corrective action plan.

PNNL provided a Corrective Action Plan dated December 2019, to address the CARs/CIOs/ CIO*s. The actions proposed and undertaken by PNNL in the Corrective Action Plan are acceptable.

The Office of Project Assessment (OPA) urges you to continue to maintain the high level of compliance that your staff demonstrated to the EVMS committee during the on-site review and the CAR resolution process to ensure continued EIA-748 compliance and a valid EVMS certification.

Sincerely,

7/22/2020

 Kurt W. Fisher

Signed by: CASEY CLARK

Kurt W. Fisher

Director

Office of Project Assessment

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