



Department of Energy
Office of Science
Washington, DC 20585

OCT 01 2015

Dr. A. Paul Alivisatos
Director
Lawrence Berkeley National Laboratory
1 Cyclotron Road
Berkeley, California 94720

Dear Dr. Alivisatos:

It is a pleasure to inform you that the University of California at the Department of Energy (DOE) Lawrence Berkeley National Laboratory (UC-LBNL) has successfully completed the DOE Earned Value Management System (EVMS) Acceptance Review process. As a result of the independent surveillance review conducted and the corrective actions to be taken by UC-LBNL, it has been determined that the EVMS continues to meet the requirements of the American National Standards Institute/Electrical Industries Alliance (ANSI/EIA)-748B.

To verify EVMS compliance, an independent surveillance/EVMS acceptance review was conducted at LBNL during the week of August 26-27, 2014 to determine if the UC-LBNL EVMS met the ANSI requirements.

During the review, the surveillance review team found and reported five Corrective Improvement Opportunities (CIOs*):

1. CIO-01* identified that performance taken on individual tasks by the control account manager (CAM) does not appear to be objective.
2. CIO-02* identified that a draft Cost Performance Report (CPR) is being reviewed by the project team before official publication.
3. CIO-03* identified that the CAM does not always approve resource adjustments prior to the changes being made.
4. CIO-04* identified that variance reports were filled out for the months of May, June, and July; however, all were signed on August 18, 2014.
5. CIO-05* identified that there were Baseline Change Proposals with no associated scope change.

The actions proposed by UC-LBNL in the revised Corrective Action Plan dated August 2015 to address the CIOs are acceptable. Progress on completion of the CIOs will be evaluated within the next six months.

*CIOs are not strict requirements, but would improve the EVMS system.



OPA urges you to continue to maintain the high level of compliance that your staff demonstrated to the EVMS Review Committee during the on-site review process to ensure continuing ANSI compliance and valid EVMS certification.

Sincerely,

A handwritten signature in black ink that reads "Stephen W. Meador". The signature is written in a cursive, flowing style.

Stephen W. Meador
Director
Office of Project Assessment

cc:

P. Dehmer, SC-2
J. McBrearty, SC-4
S. Short, SC-4
H. Kung, SC-22
P. Kraushaar, SC-22
J. Murphy, SC-22
K. Fisher, SC-28
E. Merrill, SC-28
P. Golan, DOE/BSO
H. Patel, DOE/BSO
M. Barry, LBNL
D. Jacobs, LBNL
K. Robinson, LBNL

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