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DOE Accelerator Stewardship report to HEPAP

Accelerators and Beams Tools of Discovery Office of High Energy Physics Office of Science U. S. Department of Energy

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Background image courtesy of Oak Ridge National Laboratory

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Origin of Accelerator Stewardship

- Historically, High Energy Physics has provided the most aggressive technical goals and the strongest sustained funding for long-term accelerator R&D in the U.S.
 - This sustained investment has yielded a wealth of accelerator technology that drives not only discovery science, but industrial, medical, energy, environmental, and security applications
- HEP began a process to formalize this role in 2009
 - HEP sponsored Accelerators for America's Future to elaborate how accelerators were being used, and requirements for future applications
 - In 2011 the Senate asked DOE for a 10-year plan to address the challenges described by the AfAF workshop report
 - In 2012 DOE responded with a plan
 - In 2013 the first two Basic Research Needs Workshops took place to define topics
- In 2014, Accelerator Stewardship was first authorized by Congress
 - HEP hosts Accelerator Stewardship on behalf of the Office of Science



erations at the Homestake Mine in South Dakota."

Within the funds for High Energy Physics, the Committee also recommends \$20,000,000 for Accelerator Stewardship. The Committee recognizes the critical role accelerator technology can play in addressing many of the economic and societal issues confronting the country. The Committee supports the Office of Science's efforts to make unique test facilities available to U.S. industry to accelerate applications of accelerator technology. Testing accelerator technology, such as at beam facilities, is the only, unambiguous way to demonstrate the operational efficacy of a new technology and represents the final step in validating a design concept.

NUCLEAR PHYSICS

FY2014 Energy and Water Development Appropriations Bill

S. 1245, (June 27, 2013)

N.B. Accelerator Stewardship funded at \$9,931,000 in the final appropriation.



The First Four Years At-a-Glance

- FY 2014, (PBR: \$10M, Appr: \$10M), Accelerator Stewardship's initial year:
 - Consulting with BES & NP HEP GARD grants picked to be the initial Stewardship cohort
 - BNL-ATF named as a dedicated Accelerator Stewardship test facility
 - BNL-ATF Stage 1 upgrade was approved and funding began
- FY 2015, (PBR: \$19.2 M, Appr: \$10M):
 - Prior-year grant obligations
 - 6 Awards fund under first Accelerator Stewardship FOA
 - BNL-ATF operated as dedicated SC User Facility under Stewardship
 - Basic Research Needs Workshop: Energy & Environmental Applications of Accelerators
 - BNL-ATF Stage 1 upgrade funding continues
 - Accelerator Stewardship Test Facility Pilot Program launches
- FY 2016, (PBR: \$14M, Appr: \$10M):
 - Prior-year grant obligations
 - 6+3 Awards fund under second Accelerator Stewardship FOA
 - NAS Study on Opportunities in S&T of Ultraintense Lasers begins (joint with NNSA, DOD)
 - BNL-ATF operated as dedicated Accelerator Stewardship test facility
 - BNL-ATF Stage 1 upgrade funding continues
- FY 2017, (PBR: \$13.4M, Appr:\$13.1M)
 - Prior-year grant obligations
 - FY 2017 Stewardship FOA issued, incorporating the AS Test Facility Program
 - BNL-ATF operated as dedicated Accelerator Stewardship test facility
 - BNL-ATF Stage 1 upgrade funding continues



Accelerator Stewardship Program Elements

Research Program

- Accelerator Stewardship Solicitations
 - "FY 20XX Research Opportunities in Accelerator Stewardship"
 - Use-Inspired and Long-Term Basic R&D
- SBIR/STTR Solicitations (Some topics tailored to dovetail with AS program)
 - High power rf sources and ultrafast laser technology
- Accelerator R&D Test Facilities
 - Brookhaven Accelerator Test Facility
 - SC User Facility, time awarded via competitive proposal process and PAC review
 - Accelerator Stewardship Test Facility Program
 - Program to facilitate access to lesser-known SC accelerator capabilities

Program Planning

- New Stewardship thrusts, added through RFIs, studies, and workshops
- Basic Research Needs Workshops to define high impact applications, R&D roadmaps



The research program has two principal aims:

- Solve high impact problems confronting society
 - Specifically, bring technology up to TRL* 3-4 such that an applied agency or industry is willing to carry the ball forward
 - Track 1: Use-Inspired Basic R&D ("Applied R&D")
 - Focused R&D aimed at solving a specific accelerator application problem in a specific area. The desired end goal is a working prototype technology after 1-2 grant cycles.
 - Eligibility: all domestic organizations. Teaming and cost-sharing are *expected*.

• Lay the foundations for future accelerators

- Invest in a range of foundational and applied R&D
- Track 2: Basic Accelerator R&D
 - Long-term foundational accelerator R&D aimed at improving the theory, computational tools, and fundamental physical and technical understanding of accelerator science.
 - Eligibility: domestic academia only. Teaming and cost-sharing are encouraged.

FY 2016 FOA Topics

- Track 1: Applied R&D
 - Particle Therapy Beam Delivery Improvements
 - Less massive and more compact beam delivery systems capable of delivering ion beams
 - Technology that can provide for rapid (seconds) scanning of the beam over a tumor
 - Beam diagnostic technologies for ion beam therapy

Ultrafast Laser Technology Program

- Ultrafast gain materials capable of very high average power,
- Increased robustness and reduction in size of optical components,
- Innovations in laser architectures
- Wavelength extension further into the infrared
- Improvements in laser quality

Energy & Environmental Applications of Accelerators

- Design studies for megawatt-class accelerators
- R&D on megawatt-class rf power sources

Track 2: Basic R&D

 Significant increases in accelerator performance (flux, brightness, polarization, coherence, stability, reliability, flexibility) and decreases in cost (construction cost, operating cost, physical size, complexity) are sought.



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Ultrafast lasers drive:

- Plasma accelerators
- HHG X-ray generators
- Pump-Probe experiments
 - ...

FY16 Call brought a very strong response from a diverse community

68 Letters of Intent (LOIs) received, totaling \$74M !

- By Call (LAB and FOA)
 - 15 responded to LAB call
 - 53 responded to FOA call
- By Track and Topic
 - Track 1 / Applied R&D
 - Ion Beam Therapy: 8
 - U/F Laser R&D: 11
 - Energy efficiency: 15

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• Track 2 / Basic R&D: 34

LOI Responses

- Encouraged: 46 (\$54M)
- Discouraged: 22 (\$20M)
 - 4 were referred to the HEP CR FOA

42 Full Proposals Submitted by the deadline

- Track 1
 - Ion Beam Therapy:
 - U/F Laser R&D:
 - Energy & Environment: 12
- Track 2
 - Basic R&D: 18





Fostering Collaborative Accelerator Research Teams is a central aim of Accelerator Stewardship



FY 2016 Stewardship Selection Process





FY 2016 Stewardship Awards



Fundamental Studies of Superconductors (2 awards)



Science

New Sources of Particles and Radiation



Concept Studies of Accelerators for High Power Electron Accelerators for Energy & Environmental Applications



Accelerator R&D Facilities

Two Major Program Elements:

- Brookhaven Accelerator Test Facility ("ATF") is operated as an Office of Science User Facility dedicated to Accelerator Stewardship
- Accelerator Stewardship Test Facility Program ("ASTFP") makes lesser-known SC accelerator R&D infrastructure more accessible



Brookhaven Accelerator Test Facility

- The ATF is an Office of Science User Facility, providing beam time free of charge to non-proprietary users.
 - More than 25 years of R&D for science and industry
 - ATF currently supports 25 experiments, 7 feasibility studies
 - Roughly one-half support long-term R&D that is predominantly of interest to BES, NP, DARPA, NASA, DNDO & others
 - Roughly one-fifth are from industry
 - Rich tradition of training accelerator physicists
 - >2000 hrs/year of e-beam, laser, and UED study time

• The ATF is an Accelerator Stewardship facility

- ATF has been designated an Office of Science User Facility
- Time awarded by scientific and/or technical merit
- Two new capabilities added: X-band Deflector, UED apparatus
- Significant CO2 laser upgrades are underway



Making lesser-known SC accelerator R&D capabilities generally available: Accelerator Stewardship Test Facility Program

Outreach Event Participants by Institution Type

- Broaden public awareness of the broad range of accelerator R&D capabilities at the DOE National Labs.
- Facilitate access to SC National Laboratory accelerator R&D infrastructure
- In the pilot year (2015-2016):
 - 450 participants came to events at 6 national labs
 - >58% were from industry
 - A web portal was developed to publicize available capabilities
 - Average 300 hits/year
 - >30 partnership opportunities were identified
 - 7 partnerships were seed-funded
 - lab/university and lab/industry partnerships
- Has been formalized as "Track 3" of the annual solicitation



Boundary Conditions: What is Accelerator Stewardship and what is GARD?

- DOE-HEP has a separately funded, separately managed program in accelerator R&D specifically aimed at supporting its mission called "GARD" = General Accelerator Research & Development.
- Accelerator R&D targeted at one end use is often useful for others (e.g. beam dynamics, material science of superconductors, rf/laser sources...)
- The difference, stated simply, is:

GARD	predominantly impacts the HEP R&D mission
accelerator stewardship	impacts both HEP and non-HEP uses, but no clear stakeholder (beyond HEP) exists
<u>Accelerator Stewardship</u>	predominantly impacts non-HEP applications, and a clear stakeholder exists beyond HEP



Boundary Conditions: "Stewardship Customer's Needs" vs. "synergy with HEP"

DOE-HEP funds a program with a distinct mission. As such, HEP-funded activities must be defensible within the context of the mission:

The mission of the High Energy Physics (HEP) program is to understand how our universe works at its most fundamental level.

- Particle accelerators play a key enabling role in HEP experiments.
- Higher energy and intensity, and lower cost are the primary R&D goals.
- Two questions must be answered in the affirmative for work to qualify for the Stewardship program:
 - 1. Is there a clear non-HEP customer for the work, and does the proposed work have a potentially *strong impact* on the customer's needs?
 - 2. Will conducting the activity likely result in a *positive impact* on HEP's ability to conduct its mission?

"strong impact" – is defined by the Stewardship Customer. *"positive impact"* – can include: enhancing a competence, improving a facility, or developing an industry capability that one day will prove useful to the HEP mission.



Concluding Thoughts

- Accelerator Stewardship has made significant progress on the ambitious goals enumerated in Accelerators for America's Future¹:
 - Create large-scale demonstration and development facilities
 - As a basic R&D program, Stewardship seeks out applied R&D champions that can take on the applied R&D phase
 - The Brookhaven ATF and the AS Test Facility Program facilitate access to a wide variety of SC accelerator capabilities
 - Improve interagency, inter-program, and industry-agency coordination
 - 4 Offices of Science and 6 federal agencies actively coordinate accelerator R&D programs
 - Consult on FOAs & awards; reciprocal participation in workshops & reviews
 - Solicitations specifically and strongly encourage collaboration with industry
 - Expand training and education of accelerator scientists and engineers and the recognition of accelerator science as a scientific discipline
 - Accelerator Stewardship contributes to this goal by funding R&D
 - NSF's Accelerator Science program plays a critical role
 - Address the most pressing needs of R&D for accelerators in the five areas
 - Grants awarded through competitive FOAs are funding high-impact R&D in these areas
 - 6 patents, ~20% cost sharing, >100 journal publications, 23 dissertations completed,...
 - >10 federal entities are coordinating on the common goal of advancing accelerator technology

FY 2017 Accelerator Stewardship FOA

• DE-FOA-0001779 and LAB 17-1779, posted June 1, 2017

- Up to \$3M in FY2017 funding for new and renewal awards
- Note the highly accelerated deadlines

Track 1: Use-Inspired Basic R&D

- Eligibility: All domestic institutions
- Topic Areas:
 - Particle Therapy Beam Delivery Improvements
 - Ultrafast Laser Technology Program
 - Energy & Environmental Applications of Accelerators

• Track 2: Basic R&D

- Eligibility: All domestic accredited academic institutions or non-profits
- R&D leading to significant increases in accelerator performance and/or decreases in cost
 - Must address a Stewardship Customer's identified R&D need.

Track 3: Accelerator Stewardship Test Facility Program

- Eligibility: All domestic institutions, except DOE labs
- ≤12 month non-renewable award to use accelerator R&D infrastructure at SC Labs
- Note: a Collaborative Proposal is required

<u>Please</u> read the FOA/LAB and FAQs carefully

- It is incumbent on the PI to identify the Stewardship Customer and provide evidence of the Customer's support for their work
 - "Customer" and "evidence" are both defined in the FOA
- Topic descriptions are specific for a reason Please contact Eric Colby and discuss if clarification is needed
- A Pre-application (2 pages) is required
- Teaming and cost-sharing are strongly encouraged

DE-FOA-0001779 & LAB 17-1779

Pre-Applications DUE June 15, 2017 (10 days!) The pre-application is mandatory

Encourage/Discourage Response: June 23, 2017

Applications DUE: July 17, 2017 (24 days!)

Award Notifications: By Sept 30, 2017

Supplementary Materials



Formulating a National Accelerator Stewardship Program



2012 Accelerator R&D Task Force

Identified initial stewardship opportunities and potential impediments

2013 Ion Beam Therapy Workshop (with NH))

- Identified the role of accelerator technology and facilities in further cancer therapy
 2013 Laser Technology for Accelerators Workshop
- Identified high average power "ultrafast" lasers as key enabling technology for discovery and applied sciences

2014 Congress authorizes Accelerator Stewardship for first time

FY2015 Funding Opportunity Announcement

• 98 LOIs \rightarrow 50 proposals \rightarrow 6 awards

http://science.energy.gov/hep/research/accelerator-stewardship/workshop-



2014 RFI and

2015 Energy & Environment Workshop

• RFI and workshop identified key accelerator R&D needed to advance energy and environmental applications of accelerators

Accelerator Stewardship Test Facility Pilot Program

450 visitors → >30 joint activity proposals → 7 seed-funding awards

2015 DNDO Workshop on Active Interrogation

Identified the R&D needed for security applications

FY2016 Funding Opportunity Announcement

• 68 LOIs \rightarrow 42 proposals \rightarrow 9 awards

FY 2017 Funding Opportunity Announcement



Merit Criteria for Accelerator Stewardship Proposals (in addition to the usual 10CFR605 criteria)

QUALITY OF THE ACCELERATOR R&D STEWARDSHIP OPPORTUNITY

In the questions that follow, the term "**Stewardship customer**" is used broadly to refer to the entity (other than HEP) whose mission or research objectives encompass the proposed work. The Stewardship customer can be another Office of Science (e.g., BES, NP, FES), another DOE program office (e.g., NNSA, EERE, ARPA-E) another federal agency (e.g., NIH, DoD), or industries that use accelerator technology.

- 1. Does the proposed work require significant scientific or technical advances in accelerators or acceleratorrelated technology? (Accelerator-related technology includes such things as: superconducting magnets and RF cavities, RF and magnet power systems, specialized laser systems, specialized diagnostics and controls, and so on.)
- 2. Will the proposed work result in substantial impact on the Stewardship customer's needs **and** result in some synergy with the HEP mission? (synergies might include: developing additional expertise or facilities relevant to present or future HEP-supported work).
- 3. For the primary participating institution(s), is the activity reasonably consistent with the institution's primary mission? (e.g., if a National Laboratory is involved, is the activity consistent with that Laboratory's primary mission?)
- 4. Is the PI/collaboration arguably the best performer/provider for the Stewardship activity? Are other entities capable of providing a substantially similar (or superior) capability?
- 5. What evidence is there that the Stewardship customer endorses the goal? Does this proposal address issues that have been identified in writing (e.g., advisory committee reports, workshop reports, white papers, roadmaps) by the Stewardship customer? Does the Stewardship customer participate substantially and materially in this effort (e.g., by co-funding, cost-sharing, in-kind donation or equipment, donation of effort)?



Accelerator Stewardship Test Facility Pilot Program Awards

Seven seed-funding awards made September 2015

• Duration: ≤12 months, Average award: \$190k

• "Development of High-Gradient Accelerating Structures for Ion Beam Therapy"

- Who: Radiabeam Technologies, LLC with Argonne National Laboratory
- What: Uses RF test stand, and beam optics design capability of lab
- "Ultra-Nanocrystalline Diamond Cathode Testing in an SRF gun"
 - Who: Euclid Techlabs, LLC with Brookhaven National Laboratory
 - What: Uses SRF gun and operating experience of lab
- "Basic Materials for Conduction Cooled SRF"
 - Who: PAVAC Energy Corp. with Fermi National Accelerator Laboratory
 - What: Uses materials analysis and SRF engineering expertise of lab
- "High Reliability, High Power Coupler Development"
 - Who: Euclid Techlabs, LLC with Fermi National Accelerator Laboratory
 - What: Uses SRF design and fabrication expertise of lab
- "Fiber Laser Based Coatings and Surface Activation Facility for Accelerators"
 - Who: Demaray LLC with Lawrence Berkeley National Laboratory
 - What: Uses lasers and laser-PVD expertise of lab

• "Electromagnetic Modeling of Human Body Using High Performance Computing"

- Who: Simmetrix, Inc. and Stanford University with SLAC National Accelerator Laboratory
- What: Uses RF design and HPC modeling expertise of lab
- "Elliptical Twin Cavity for Accelerator Applications"
 - Who: Old Dominion University with Thomas Jefferson National Accelerator Facility
 - What: Uses SRF design and fabrication expertise of lab



Partner Color Code Business University

"One-Stop Shopping" for finding Accelerator R&D Capabilities at the **DOE Office of Science National Laboratories** http://www.acceleratorsamerica.org = >300 page hits per year*! AULLEN AIURS U.S. DEPARTMENT OF ENERGY HOME WORKING WITH THE NATIONAL LABORATORIES WORKSHOPS RESOURCES REPORTS ACCELERATORS () ENERGY WORKSHOPS RESOURCES REPORTS About Acc Working with the National Laboratories - Americ Particle accelerators are useful tools for defense and security, energy, the environment, industry and medicine as well as for discovery science. National informations make facilities available for the development of accelerator-based technology for a wide variety of applications for science and society. The Department of Denging Colles of Series operative number of economics/band user facilities areas the Uniter Solate. Desire alkalising sector factorized, these listicities areas a material for facilities, private inclusity, and other center for searchs and including reasorch and development. In addition, the national listicitation, and beam objects expected and including reasorch and development. In addition, the national listicitation, and beam objects expected while characterized and and the development of the development list stance, and beam objects expected while characterized and pointerized possibilities below, and material list latency. The Accelerators for vehsite arew out of Argonne National Laboratory

and design and construct new accelerator facilities i

Accelerator Test Facility

With the demand for higher energy particle accelerators, facilities such as the Accelerator Test Facility (ATF) at Brookhaven are becoming increasingly important. The ATF provides experimenters with the equipment necessary for the advancement of accelerator technologies, with a view to develop smaller machines and more cost-effective methods of particle acceleration.

The facility provides a very-high brightness electron beam to four beam lines. The beam can be manipulated in the transport line to deliver it to one of the experimental locations in the experimental hall. There are more than 40 quadrupoles along 4 transport lines to tailor the beam to particular experiments.

The carbon dioxide laser installed at the ATF is the only tera-watt picosecond laser available in the world for users. When the laser interacts with matter or particle beams, new strong-field physics phenomena are revealed and have been successfully exploited for electron and ion acceleration and x-ray generation. Such experiments have many applications in physics and other areas such as medicine and materials science.

Office of

Science

Details | Contact: (631) 344-4381



* Thanks to Leah Hesla @ FNAL for analysis and upkeep of this page!



Fermi National Accelerator Laboratory

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Jefferson Lab

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Lawrence Berkeley National Laboratory

Particle accelerators have come a long way since Ernest Oriendo Lavrence investes the cytotrom and founces the laboratory that now bars his name. Today, accelerators are vital to acroweng a nide angue of guestions, form "What is the underlying structure of matter" is brond a you guidely check a cargo container for explosives? or "Where can we get electricity what have fluids" Co. Bits als way can be and electric to come



cargo container for explosives? or "Where can be get electricity without loss! fuels?" On this sile you can learn about our core programs and the larger world of accelerators and their uses.

SLAC National Accelerator Laboratory

Thousands of assentiats from will over the work uses our outing-edge accelerator foculate each year. ALCA National Accelerator Laboratory is developing the next generation of accelerator Laboratory as for actience, medicine, industry and hom-when devorthy, and we collaborate with industry on reasent alimed at developing useful products.



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Accelerator Stewardship Program Accomplishments

• R&D

- >2.5M\$ in informal cost sharing attracted (averaging 20% across all Stewardship Program Awards so far)
- 6 Patents (1 international)
- 23 Dissertations completed (10 UCLA, 10 Indiana U, 3 FSU)
- 105 Journal Publications, 99 Conference Presentations, 1 book and 6 book chapters

• Facilities

- Brookhaven ATF
 - Provided an average of over 2000 user-hours per year of support on electron and laser beam experiments
 - free of charge for academic use
 - Supported work by BES, NP, DARPA, DNDO, and small businesses
 - Hosted >50 users and 22 user experiments
 - Completed 7 experiments in the 2013-2015 timeframe
- Office of Science National Laboratory Accelerator R&D Infrastructure
 - >450 visitors attended lab "open houses" to learn about their capabilities
 - 33 new "outside uses" of labs were identified, 7 were seed-funded

Coordination & Communication

- 8 Offices and Agencies outside HEP coordinate on accelerator R&D
- Conducted Basic Research Needs Workshops and an RFI to map out the complex application space and develop R&D roadmaps
 - 3+1 workshops, co-sponsored with NIH, DNDO, and attended by BES, NP, DOD-ONR, FES, NSF, NIH, EPA, and the CRS
- Co-funding an NAS study on Science Opportunities with Ultraintense Lasers (funded jointly with DOE-NNSA, DOD-ONR, and DOD-AFOSR)
- Publicity: a Whitehouse article, 2 Physics Today articles, 10 invited plenaries (6 from outside HEP {AMS, AAAS, NAPT, ANS, TTWG, CAARI}), a trade magazine article, 2 talks to industrial groups, 2 general public terms.



Accelerator Stewardship In the Press

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• Putting new accelerator technology to work in medicine – making beam delivery systems faster and smaller				
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Science

FY 2016 FOA/LAB Process Overview

- Program Planning
 - January 2013 Ion Beam Therapy Workshop & Lasers Technology for Accelerators Workshop
 - May 2014, June 2015 RFI and Workshop on Energy & Environmental Applications of Accelerators
- FOA Preparation
 - August 2015 FOA written and circulated to SC/BES+SC/NP, NSF/MPS+NSF/CBET, NIH/NCI, DoD/ONR, DHS/DNDO
 - October 13, 2015 FOA posted
- Pre-Application Phase
 - November 16, 2015, 5:00pm EDT –deadline for Letters of Intent
 - November 18-24, Pre-Apps reviewed by SC/HEP, then SC/BES+SC/NP, NSF/MPS+NSF/CBET, NIH/NCI, DoD/ONR, DHS/DNDO
 - November 30, 2015—encourage/discourage responses given
- Merit Review Phase
 - Early December—ask BES, NP, NSF, NCI, DOD, DNDO for reviewer selection panelist recommendations
 - December, 2015--Reviewer selection panel identifies and confirms mail-in reviewers
 - December 21, 2015—full applications due
 - January 4, 2016 to January 25, 2016—mail in reviews
 - February 9-11, 2016—Comparative Panel review meeting
- Interagency Review Phase
 - February 15-February 22, 2016—Interagency review with SC/BES+SC/NP, NSF/MPS+NSF/CBET, NIH/NCI, DoD/ONR, DHS/DNDO
- Decision and Execution
 - February 28, 2016—award decisions
 - March, 2016—awards announced
 - May or June 2016 awards funded

Legend

Proposers Mail-In Reviewers CR Panel Reviewers HEP Broader SC Broader Fed Gov't



Funding Trajectories of GARD, Stewardship, and SBIR



Synoptic View of the Accelerator Stewardship Program

